PATENT 0020-5224P

IN THE U.S. PATENT AND TRADEMARK OFFICE

plicant:

Kyogo ITOH et al.

Conf.:

Appl. No.:

10/781,659

Group:

UNASSIGNED

Filed:

February 20, 2004

Examiner: UNASSIGNED

For:

NOVEL TUMOR ANTIGEN PROTEIN SART-3 AND

TUMOR ANTIGEN PEPTIDES THEREOF

INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FILING OF AN APPLICATION

BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

January 4, 2005

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-1449(s), attached hereto.

- II. COPIES (check at least one box)
 - Copies of cited U.S. patents and patent application publications are not included. Copies \boxtimes a. of foreign patent documents and non-patent literature are included.
 - Some or all of the documents listed on the PTOb. 1449 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

a. DOCUMENTS IN THE ENGLISH LANGUAGE

The patents, publications, or other information listed on the attached PTO 1449 are in the English language and therefore, do not require a statement of relevancy.

b. DOCUMENTS NOT IN THE ENGLISH LANGUAGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

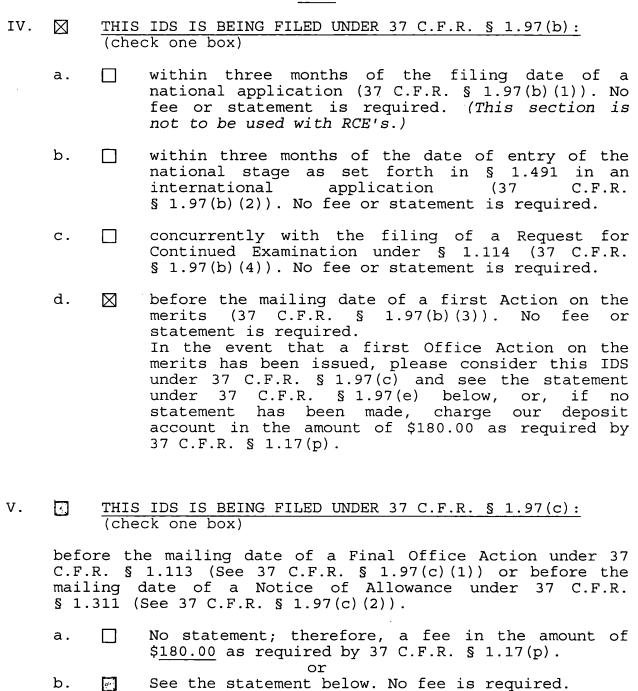
c. MERCH ENGLISH LANGUAGE SEARCH REPORT

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

The following additional information is provided for the Examiner's consideration.

EP 0911397 is a corresponding patent application of the document Shichijo et al., J. Exp. Med., 187(3):277-288 (1998).

FEES



VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box) The undersigned hereby states that each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or b. \Box each item of information contained in the IDS was first cited in any communication from a foreign Office in a counterpart application not more than three months prior to the filing of this IDS; or no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, the knowledge of the person signing the certification after making reasonable inquiry, no of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS. d. П Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in counterpart a foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any

statement.

individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this

VII. PAYMENT OF FEES (check one box)

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- Please charge Deposit Account No. 02-2448 in the amount required by 37 C.F.R. § 1.17(p) for the above-indicated fee. This paper is submitted in triplicate.
- \boxtimes No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH STEWART, KOLASCH & BIRCH, LLP

John W. Bailey, #32,881

P.O. Box 747

Falls Church, VA 22040-0747

(703) 205-8000

Attachment(s): ☐ PTO-1449

JWB/KLR/jao 0020-5224P

□ Documents

☐ Fee

□ Other:

(Rev. 11/08/04)

Form PT				•	ATTY. DOCKET NO. 0020-5224P	10/781,659					
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